RESPONDING TO A NOTICE OF ADJUDICATION

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Table of Contents

1.	Receiving a Notice of Adjudication via Email	3
2.	Viewing the Notice of Adjudication	4
3.	Responding to the Notice of Adjudication	6
	3.1 Asking the Respondent's Representative to Complete the Response to Notice of Adjudication	9
4.	Adjudication Proceeding with the Respondent	. 11
5. of	Participating in the Adjudication Without Completing the Response to Noti Adjudication	
	Downloading Copies of the Notice of Adjudication and Response to Notice	
7.	Parties' Information	. 15
8.	Inviting Additional Individuals to the ODACC Custom System	. 16
	8.1 Inviting Representatives	. 16
	8.2 Inviting Additional Individuals	. 16

DISCLAIMER: Please note that nothing in this document shall be considered as legal advice. Parties to disputes are advised to consult a lawyer to clarify their legal rights.



1. Receiving a Notice of Adjudication via Email

A Notice of Adjudication is a document that commences an adjudication pursuant to the *Construction Act*. If you have received a Notice of Adjudication, that means that a Claimant has identified you as a Respondent to a dispute that was referred to adjudication. After a Claimant creates a Notice of Adjudication, ODACC will send an email to the Respondent that states:

ODACC Notice of Adjudication - You Have Been Named as a Respondent in Case #

A Claimant has filed a Notice of Adjudication with Ontario Dispute Adjudication for Construction Contracts ('ODACC') naming you (or your client, if you are a Representative) as the Respondent. **IF YOU DO NOT FILE A RESPONSE** with ODACC, the adjudication may proceed without you and a Determination may be obtained against you. Information about ODACC and the adjudication process is available at the following link: www.odacc.ca.

To view and respond to the Notice of Adjudication, you must log in to ODACC's Custom System. Please click on the link below that applies to you.

You do not have an account and are not a Representative

If you do not have an account on ODACC's Custom System, please create an account by clicking on the following link: <u>Create an Account.</u> You will be able to view and respond to the Notice of Adjudication in ODACC's Custom System after your account is confirmed.

You do not have an account and are a Representative

If you are a Representative and do not have an account on ODACC's Custom System, please create an account by clicking on the following link: <u>Create an Account</u>. You will be able to view and respond to the Notice of Adjudication in ODACC's Custom System after your account is confirmed.

You already have an account

If you already have an account on ODACC's Custom System, please click on the following link to log in to ODACC's Custom System and view and respond to the Notice of Adjudication: <u>View Notice of Adjudication.</u>

If you require technical support, please contact us at support@odacc.ca.

Regards,

The ODACC TEAM



2. Viewing the Notice of Adjudication

To view the Notice of Adjudication, the Respondent must click on the email link that is most appropriate to his or her situation. When the Respondent clicks on the email link, the Respondent will be taken to ODACC's Custom System and asked to sign in (or to create an account). A description of ODACC's Custom System and the process for creating an account are provided at https://odacc.ca/en/odacc-custom-system/.

After the Respondent logs in to ODACC's Custom System, he or she will be redirected to a page containing the Notice of Adjudication, as shown at Figure 1. Two messages will appear on the page stating (a) "You have now confirmed receipt of the Notice of Adjudication. Case no. X", and (b) "IF YOU DO NOT FILE A RESPONSE with ODACC the adjudication may proceed without you and a Determination may be obtained against you. You may respond to the Notice of Adjudication by completing the screen below and clicking the submit button."



Figure 1

	confirmed receipt of the Notice of Adjudication. Case no. 86.					
	DT FILE A RESPONSE with ODACC the adjudication may pro and to the Notice of Adjudication by completing the screen belo					
Claimant's Inform	nation					
Name	Company Test	Suggested				
Company Name	Test Company 1	ODACC Adjudication Process	Pre-Designed Process 1 🛈			
Title		Claimant's				
Email	company1@test.ca	Representative	Representative Test			
Phone Number	416-444-5555	Claimant's Representative's	1 Maple St., Toronto, ON, CANADA. M1M 1M1			
Nature and Description of the Dispute Lorem ipsum dolor sit amet, consectetur adipiscing elit, sed do eiusmod tempor incididunt ut labore et dolore magna aliqua. Ut enim ad minim veniam, quis nostrud exercitation ullamco laboris nisi ut aliquip ex ea commodo consequat. Duis aute irure dolor in reprehenderit in voluptate velit						
veniam, quis nostr esse cillum dolore		consequat. Duis aute irure	dolor in reprehenderit in voluptate velit			
veniam, quis nostr esse cillum dolore laborum. Potential Witnesse	ud exercitation ullamco laboris nisi ut aliquip ex ea commodo eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat noi	consequat. Duis aute irure	dolor in reprehenderit in voluptate velit			
veniam, quis nostr esse cillum dolore laborum. Potential Witnesse - John Smith, CEC	ud exercitation ullamco laboris nisi ut aliquip ex ea commodo eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat no es: 0 of Test Company 1	consequat. Duis aute irure	dolor in reprehenderit in voluptate velit			
veniam, quis nostr esse cillum dolore laborum. Potential Witnesse - John Smith, CEC Nature of the redre	ud exercitation ullamco laboris nisi ut aliquip ex ea commodo eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat no es: O of Test Company 1 ess sought (what you would like the Adjudicator to order)	consequat. Duis aute irure n proident, sunt in culpa qu	e dolor în reprehenderit în voluptate velit ai officia deserunt mollit anim id est			
veniam, quis nostr esse cillum dolore laborum. Potential Witnesse - John Smith, CEC Nature of the redre Sed ut perspiciatis inventore veritatis sed quia conseque	ud exercitation ullamco laboris nisi ut aliquip ex ea commodo eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat no es: 0 of Test Company 1	consequat. Duis aute irure n proident, sunt in culpa qu remque laudantium, totam nim ipsam voluptatem quia	e dolor în reprehenderit în voluptate velit li officia deserunt mollit anim id est rem aperiam, eaque ipsa quae ab illo li voluptas sit aspernatur aut odit aut fugi			
veniam, quis nostr esse cillum dolore laborum. Potential Witnesse - John Smith, CEC Nature of the redre Sed ut perspiciatis inventore veritatis sed quia conseque	ud exercitation ullamco laboris nisi ut aliquip ex ea commodo eu fugiat nulla pariatur. Excepteur sint occaecat cupidatat nor es: 0 of Test Company 1 ess sought (what you would like the Adjudicator to order) unde omnis iste natus error sit voluptatem accusantium dolo et quasi architecto beatae vitae dicta sunt explicabo. Nemo ei untur magni dolores eos qui ratione voluptatem sequi nesciun	consequat. Duis aute irure n proident, sunt in culpa qu remque laudantium, totam nim ipsam voluptatem quia	e dolor în reprehenderit în voluptate velit li officia deserunt mollit anim id est rem aperiam, eaque ipsa quae ab illo li voluptas sit aspernatur aut odit aut fugi			



3. Responding to the Notice of Adjudication

To Respond to the Notice of Adjudication, the Respondent (or Respondent's Representative) must open the Notice of Adjudication (as described at <u>Receiving a</u> <u>Notice of Adjudication</u>). The Respondent should scroll to the bottom of the page, where a space is provided to enter the Response to Notice of Adjudication (See Figure 1).

In the Response to Notice of Adjudication, as shown at Figures 2 and 3, the Respondent must enter:

- A brief summary of the response to the Notice of Adjudication. In order for the Adjudicator to check for potential conflicts of interest, please include owners, officers and directors of the company (if any) and the names of potential witnesses in the text box;
- The Respondent's contact details;
- If the Respondent has a Representative, the Respondent can enter the Representative's contact information and select whether the Respondent wants the Representative to continue completing the Response or whether the Respondent wishes to invite the Representative to the ODACC Custom System to fill in the Response (Refer to <u>Section 3.1</u> of this document for information on inviting a Representative to compete the Response to Notice of Adjudication);
- The Respondent's suggested Pre-Designed Adjudication Process. For information on Pre-Designed Adjudication Processes, please visit <u>https://odacc.ca/en/respondents/adjudication-process/</u>
- The Respondent's preferred Adjudicator, by selecting one of the three options:
 - I agree to the Adjudicator suggested by the Claimant to conduct the adjudication.
 - I request ODACC to appoint an Adjudicator to conduct the adjudication.
 - I would like to suggest a different Adjudicator to conduct the adjudication.
- The Respondent's consent to statements about (a) sharing confidential information with adjudicators, (b) paying the retainer, and (c) confidentiality (see Figure 3 for the statements).



I Iguic Z	Figure	2
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			ation. In order for the Adjudic the names of potential witnes	ator to check for potential confli ses in the text box below. *
Respondent's Inf	formation		Address 1 *	
The Respondent i	s 🕝 Organization	O Individual	1 Canada St.	
Company Name a Different) *	and Operating Name ((if Operating Name is	Address 2	
Test Company 2			City *	Province/State *
First Name *	Middle Name	Last Name •	Toronto	Ontario
Respondent		Test	Postal Code *	Country *
Email *	Phone Number *	Title	L1L 1L1	Canada
respondent@tes	t. 416-111-2222			
O Deserved and	presentative			
Respondent Respondent's Re If you are Responde Yes No	nt, do you have a Rep	presentative?		
Respondent's Rep Yes No Would you like to Continue to Selec	t ODACC Adjudicatio	n Process and Adjudicator C System to continue?		
Respondent's Rep Yes No Would you like to Continue to Selec	t ODACC Adjudicatio	n Process and Adjudicator	Address 1 *	
Respondent's Rep Yes No Would you like to Continue to Selec Invite my Represe	t ODACC Adjudicatio	n Process and Adjudicator	Address 1 *	
Respondent's Rep Yes No Would you like to Continue to Selec Invite my Represe	t ODACC Adjudicatio	n Process and Adjudicator	Address 1 *	
Respondent's Representative's	et ODACC Adjudication antative to the ODAC	n Process and Adjudicator C System to continue?		
Respondent's Representative's	t ODACC Adjudicatio entative to the ODAC Information Middle Name	n Process and Adjudicator C System to continue?		Province/State *
Respondent's Rep Yes No Would you like to Continue to Selec Invite my Represe Representative's First Name *	t ODACC Adjudicatio entative to the ODAC Information Middle Name	n Process and Adjudicator C System to continue?	Address 2	Province/State * Select
Respondent's Rep Yes No Would you like to Continue to Selec Invite my Represe Representative's First Name *	t ODACC Adjudicatio entative to the ODAC Information Middle Name Phone N	n Process and Adjudicator C System to continue?	Address 2	



Figure 3

00	DC65S
Pre-Designed Process 1	•
	tion about the ODACC Adjudication Processes
djudicator Selection	
O I agree to the Adjudicato	suggested by the Claimant to conduct the adjudication : Adjudicator Test3
O I request ODACC to app	int an Adjudicator to conduct the adjudication.
I would like to suggest a	lifferent Adjudicator to conduct the adjudication.
Adjudicator	
Libe Could	
John Smith	
Adjudicator Registry	
D	I agree to pay the retainer within seven days of receiving the retainer through ODACC's Custom System and any additio fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information:
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information:
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information: Adjudication Fees and Retainer I agree that communications, documents and the Determination (the "Information") shared or disclosed in the adjudication shall not be disclosed to anyone who is not a Party to the adjudication, except in the following limited specified
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information: Adjudication Fees and Retainer I agree that communications, documents and the Determination (the "Information") shared or disclosed in the adjudication shall not be disclosed to anyone who is not a Party to the adjudication, except in the following limited specified circumstances: • where the Information is required in a subsequent adjudication respecting the same Improvement; • where a Party applies to a court or to an arbitral tribunal to consider matters dealt with in the adjudication; • pursuant to an order of a court of competent jurisdiction or where the disclosure is required by law; • where the Information is otherwise in the public domain;
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information: Adjudication Fees and Retainer I agree that communications, documents and the Determination (the "Information") shared or disclosed in the adjudication shall not be disclosed to anyone who is not a Party to the adjudication, except in the following limited specified circumstances: • where the Information is required in a subsequent adjudication respecting the same Improvement; • where a Party applies to a court or to an arbitral tribunal to consider matters dealt with in the adjudication; • pursuant to an order of a court of competent jurisdiction or where the disclosure is required by law; • where the Information is otherwise in the public domain; • where the person to whom the Information is disclosed is a legal or financial advisor to a Party to the adjudication; • where the person to whom the Information is disclosed is involved in the adjudication (such as a contract
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information: Adjudication Fees and Retainer I agree that communications, documents and the Determination (the "Information") shared or disclosed in the adjudication shall not be disclosed to anyone who is not a Party to the adjudication, except in the following limited specified circumstances: • where the Information is required in a subsequent adjudication respecting the same Improvement; • where a Party applies to a court or to an arbitral tribunal to consider matters dealt with in the adjudication; • pursuant to an order of a court of competent jurisdiction or where the disclosure is required by law; • where the Information is otherwise in the public domain; • where the person to whom the Information is disclosed is a legal or financial advisor to a Party to the adjudication • where the person to whom the Information is disclosed is involved in the adjudication (such as a contract administrator, an expert or a witness in a hearing);
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information: Adjudication Fees and Retainer I agree that communications, documents and the Determination (the "Information") shared or disclosed in the adjudication shall not be disclosed to anyone who is not a Party to the adjudication, except in the following limited specified circumstances: • where the Information is required in a subsequent adjudication respecting the same Improvement; • where a Party applies to a court or to an arbitral tribunal to consider matters dealt with in the adjudication; • pursuant to an order of a court of competent jurisdiction or where the disclosure is required by law; • where the Information is otherwise in the public domain; • where the person to whom the Information is disclosed is a legal or financial advisor to a Party to the adjudication; • where the person to whom the Information is disclosed is involved in the adjudication (such as a contract
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information: Adjudication Fees and Retainer I agree that communications, documents and the Determination (the "Information") shared or disclosed in the adjudication shall not be disclosed to anyone who is not a Party to the adjudication, except in the following limited specified circumstances: • where the Information is required in a subsequent adjudication respecting the same Improvement; • where a Party applies to a court or to an arbitral tribunal to consider matters dealt with in the adjudication; • pursuant to an order of a court of competent jurisdiction or where the disclosure is required by law; • where the Information is disclosed is a legal or financial advisor to a Party to the adjudication • where the person to whom the Information is disclosed is involved in the adjudication (such as a contract administrator, an expert or a witness in a hearing); • with the consent of all of the Parties to the adjudication; and
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information: Adjudication Fees and Retainer I agree that communications, documents and the Determination (the "Information") shared or disclosed in the adjudication shall not be disclosed to anyone who is not a Party to the adjudication, except in the following limited specified circumstances: • where the Information is required in a subsequent adjudication respecting the same Improvement; • where a Party applies to a court or to an arbitral tribunal to consider matters dealt with in the adjudication; • pursuant to an order of a court of competent jurisdiction or where the disclosure is required by law; • where the Information is otherwise in the public domain; • where the person to whom the Information is disclosed is a legal or financial advisor to a Party to the adjudication where the person to whom the Information is disclosed is involved in the adjudication (such as a contract administrator, an expert or a witness in a hearing); • with the consent of all of the Parties to the adjudication; and • where the Information suggests that there will be actual or potential threat to human life or safety or where the
	fees and costs related to the adjudication (beyond the amount paid as a retainer) within fourteen days from the date the Determination is issued to the Parties. Please click here for more information: Adjudication Fees and Retainer I agree that communications, documents and the Determination (the "Information") shared or disclosed in the adjudication shall not be disclosed to anyone who is not a Party to the adjudication, except in the following limited specified circumstances: • where the Information is required in a subsequent adjudication respecting the same Improvement; • where a Party applies to a court or to an arbitral tribunal to consider matters dealt with in the adjudication; • pursuant to an order of a court of competent jurisdiction or where the disclosure is required by law; • where the Information is otherwise in the public domain; • where the person to whom the Information is disclosed is a legal or financial advisor to a Party to the adjudication where the person to whom the Information is disclosed is involved in the adjudication (such as a contract administrator, an expert or a witness in a hearing); • with the consent of all of the Parties to the adjudication; and • where the Information suggests that there will be actual or potential threat to human life or safety or where the



Once the Response to Notice of Adjudication is complete, the Respondent can click on the "Click to Proceed" button, as shown at Figure 3. A confirmation message will state: "Your Response to Notice of Adjudication was successfully created. The Claimant has been sent an email notification from ODACC's Custom System advising him or her that you filed a Response to Notice of Adjudication."

The case will be added to the Respondent's "My Cases", as shown at Figure 4.

				НОМЕ	MY CASES	REQUEST HELP		
My (Cases							
								[
	+ New Case Priority No. ↓ Case Name		Claimant	Respondent	Adjudicator	Date Created		
		× T	T	T	T	Τ	T	
	0	86	Test Company 1 v. Test Company 2	Test Company 1	Test Company 2		Feb 24, 2020	Case Initiated

Figure 4

3.1 Asking the Respondent's Representative to Complete the Response to Notice of Adjudication

The Respondent can invite his or her Representative to complete the Response to Notice of Adjudication in one of two ways:

- (a) The Respondent can forward the initial email, as discussed at <u>Receiving a Notice</u> of <u>Adjudication via Email</u>, to the Representative. To Respond to the Notice of Adjudication, the Representative would then have to follow the steps outlined at <u>Receiving a Notice of Adjudication via Email</u> and <u>Response to Notice of</u> <u>Adjudication</u>; or
- (b) The Respondent can enter the Representative's contact details on the Response to Notice of Adjudication and select "Invite my Representative to the ODACC Custom System to Continue", as shown at Figure 5.



lease make the a	ppropriate selection					
Respondent						
O Respondent's Representative If you are Respondent, do you have a Representative?						
D No						
/ould you like to						
Continue to Sele	ect ODACC Adjudical	ion Process and Adjudicat	or			
Invite my Repre	sentative to the ODA	CC System to continue?				
Representative	's Information		Address 1 *			
5.27		Last Name				
Representative	's Information Middle Name	Last Name *	Address 1 * Address 2			
5.27	Middle Name	Last Name *		Province/State *		
First Name *	Middle Name		Address 2	Province/State *		
First Name *	Middle Name Phone		Address 2			

Figure 5

If the Respondent selects "Invite my Representative to the ODACC Custom System to Continue", after clicking on "Click to Proceed," the Representative will receive an email from <u>communications@odacc.ca</u> with the subject line "Invitation to View Case No." The Representative must follow the link in the email to create an account and/or log in to ODACC's Custom System, after which, the Representative will have access to the Notice of Adjudication and the Response to Notice of Adjudication (as described above at <u>Responding to a Notice of Adjudication</u>).



180 Duncan Mill Road, 4th Floor Toronto, ON M3B 126 Email: authority@odacc.ca Tel: 416-307-0008 | Toll Free: 1-888-221-3721 Fax: 416-362-8825 | Toll Free Fax: 1-877-862-8825 www.odacc.ca

Ontario Dispute Adjudication for Construction Contracts

4. Adjudication Proceeding with the Respondent

If the Respondent has not created a Response to Notice of Adjudication five days after the case was initiated in the ODACC Custom System, the Claimant may ask ODACC to proceed with the adjudication. ODACC will appoint an Adjudicator within seven days of receiving the request to proceed.

If the Respondent wishes to complete a Response to Notice of Adjudication after the Claimant has asked ODACC to proceed with the adjudication, the Respondent should (a) send an email to support@odacc.ca indicating that he or she wishes to have access to the adjudication, and (b) complete the Response to Notice of Adjudication Form available at the following link and upload it on the documents tab of the system: https://odacc.ca/wp-content/uploads/2020/01/20191213-ODACC-Response-to-Notice-of-Adjudication.pdf



5. Participating in the Adjudication Without Completing the Response to Notice of Adjudication

If the Respondent wishes to participate in the adjudication but does not wish to complete the Response to Notice of Adjudication, the Respondent should send an email to support@odacc.ca indicating that he or she wishes to have access to the adjudication. ODACC will invite the Respondent to join the adjudication on the ODACC Custom System.

If the Respondent does not complete the Response to Notice of Adjudication, he or she may not be able to participate in the Adjudicator selection process or to suggest a Pre-Designed Process to the Adjudicator.



6. Downloading Copies of the Notice of Adjudication and Response to Notice of Adjudication

To view PDF copies of the Notice of Adjudication and the Response to Notice of Adjudication, the Respondent should log in to his or her ODACC Custom System account and open the case by clicking on "MY CASES" and the case name, as shown at Figure 6.

		Figure 0			
		HOME	MY CASES	REQUEST HELP	
My Cases					
+ New Case					
No. 🕹	Case Name	Claimant	Respondent	Adjudicator	Date Created
Y Y	Ť	T	T	T	
88	Test Company 1 v. Test Company 2				Mar 05, 2020

Eigure 6



On the "Forms" tab, the Respondent can click on "Download Notice of Adjudication" and "Download Response to Notice of Adjudication" to download PDF copies of the documents (refer to Figure 7).

🛱 Dashboard	
Forms	Forms
🗰 Parties	Notice of Adjudication
Messages	Download Notice of Adjudication
1	Case Name
Close Case	Test Company 1 v. Test Company 2
	Dispute Amount
	\$40,000.00
	Date Created
	Mar 05, 2020 14:06 PM
	Save
	Response to Notice of Adjudication
	Download Response to Notice of Adjudication

Figure 7



7. Parties' Information

The "Parties" tab contains contact information for all the Parties and their representatives, as shown at Figure 8.

			Figure	8		
Case Inform	ation					
Test Company	1 v. Test Company 2	2 Case Num	iber: 88 Case	Status: Doo	cuments Submitted by Cla	imant
Request Help	Terminate Case Request De	termination Extension)			
	to expand the menu					
🛱 Dashboard						
Forms	Parties					
🗰 Parties	Parties' Inform	ation				
Adjudicator	Party Name	Party Role	Contractor	Confidentiality Agreed To	Acknowledged the Adjudication Process and Timeline	Consent to Has not Fees responded
Messages		T	T			
Fees		Claimant		2	8	
O Process		Respondent		×.	8	
Documents	H A Page	1 of 1 ►	н			1 - 2 of 2 items
Financials						
	Parties' Contac	ct Information				
	Contact Name	Party	Туре Е	mail	Phone Communicatio	n Address
	т	T	T		T	
		Claimant	Party Contact		8	
		Respondent	Party Contact		8	
	e	Respondent	Representative		8	e o
	K K Page	1 of 1 ⊳	H			1 - 3 of 3 items

To update contact information, a Party or Representative should email ODACC at <u>support@odacc.ca</u> with details of the request.



8. Inviting Additional Individuals to the ODACC Custom System

8.1 Inviting Representatives

If the Respondent has a Representative when the Response to Notice of Adjudication is created, the Respondent may include the Representative's contact information in the Response to Notice of Adjudication. ODACC's Custom System will email the Representative to invite him or her to create an account on ODACC's Custom System once the Response to Notice of Adjudication is created.

If the Representative is retained after the Response to Notice of Adjudication is created, the Respondent may email ODACC at support@odacc.ca to ask that the Representative be invited to join the adjudication. ODACC will send the Representative a case invitation. After the Representative creates an account, the Representative will have access to the information and documents filed in the adjudication.

8.2 Inviting Additional Individuals

The Respondent may give other individuals (i.e. assistants, contract managers, or project managers) access to the documents filed on ODACC's Custom System (if granting such access does not breach the Respondent's confidentiality obligations. After the Response to Notice of Adjudication is created, the Respondent may email ODACC at support@odacc.ca to ask that an individual be granted access. ODACC will send that individual a case invitation to ODACC's Custom System. After that individual creates an account, he or she will have access to the information and documents filed in the adjudication.